



Your success is our success

REQUISITION FOR ACTIVATION OF ADDITIONAL EXCHANGE TRADING SEGMENT

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Emkay Global Financial Services Ltd. KYC Department,
Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg,
Opp. Century Mills, Worli, Mumbai - 400 013

Dear Sir / Madam,

I/ We am/are happy to know that Emkay Global Financial Services Ltd (Emkay) is now registered with Commodity Exchanges as well and will be able to offer all Equity and Commodity segments together.

I/We have an existing Trading &/or Demat account at Emkay bearing client code _____ and request you to kindly activate my/our account for the Cash/Mutual Fund/Future & Option /Currency Derivative/ Commodity as well. In addition to the segments registered by me/us at the time of initial KYC or at any time thereafter, I/We wish to further avail the trading facility for the following Exchanges and segments

Exchanges	Segments	Signature	Brokerage Details
BSE NSE MCX NCDEX MSEI	Cash/Mutual Fund		
	Future & Option		
	Currency Derivative		
	Commodity Futures Commodity Options		

I/We request you to make the following Additions/Modification in my/our contact to my/our Trading account in your records.

Contact Details: Addition: Modification: No Change:

Existing Details (to be captured by system)	Addition/Modification (to be provided by Client)
Telephone: _____	Telephone: _____
Mobile: _____	Mobile: _____
Email Id: _____	Email Id: _____

Signature

I/we agree hereby confirms w.r.t the following points:-

- 1) I/We understand that this letter is in addition to the authorization /preference given earlier by me/us to you for modifying my/our trading preferences. I/We also understand that the brokerage charged to me /us in the new segment/ new exchange respective segment is mentioned above.
- 2) I/We authorize Emkay Global Financial Services Limited to use our existing KYC details for creation of UCC (Unique Client Code) with Commodity Exchanges opted by us. There are no material changes in respect of my/our KYC details I information provided to you earlier.
- 3) I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents .
- 4) I/We confirm to have received the document containing Rights and Obligation of Member , Authorized Persons and Clients as prescribed by SEBI and Commodity Exchanges, Risk Disclosure Document, Additional Risk Disclosure Document for options trading and Guidance Note- Do's AND Don'ts for the clients, Operational Business Policies, PMLA guidelines. Investor charter for stockbroker and depository participant, most important terms and conditions, -and I/We have read and understood
- 5) I/We am fully aware & understood the risks associated with Future & Options trading in derivatives.
- 6) As per guidelines issued by SEBI, [Ref. Circular No. CIR/MRD/DMS/28/2010 dated August 31, 2010] after the issuance of its Circular No. CIR/MRD/DMS/13/2010 dated April 23, 2010 setting out standardization and guidelines for execution of POA, we are guided to inform you of the addition of demat account of POA already executed by you .
We refer and authorize to the power of attorney (POA) executed by you in favor of Emkay Global Financial Services Limited (Emkay) thereby constituting Emkay as your lawful attorney authorizing Emkay to facilitate DP related

Thus, as per the directions of the Regulators & in compliance thereto in this regard, please take note of the following changes

Demat Account No.	DP Account Name	DP ID	Purpose
1202300001242987	Emkay Global Financial Services Ltd - A/c Commodity collateral Securities	12023000	Margin

Client Signature:

Client Name:

Client Code:

Date:-

Place:

To,

Emkay Global Financial Services Limited (EGFSL)

Paragon Centre, C-06, Ground Floor,
Pandurang Budhkar Marg, Worli,
Mumbai - 400013.

Sir,

I/We, am/are registered with you as a client for execution of traded from time to time in Cash/Capital and/or F&O Segment/Currency Derivative and/or Commodity Derivative Segment of Bombay Stock Exchange Ltd (BSE) and/or Nation Stock Exchange Of India Ltd (NSE) and/or Metropolitan Stock Exchange of India Ltd (MSEI) and/or Multi Commodity Exchange of India (MCX) and/or National Commodity & Derivatives Exchange Limited (NCDEX) hereinafter called as 'the Stock Exchanges'.

In order to facilitate operations, I/We hereby authorize you to maintain my/our accounts both in securities, commodity and funds with you on a running account basis

- a) I understand that consequent to your execution of my/our secondary market trades, I/we have either to pay/receive funds and/or securities depending upon my/our securities transactions. In the event I/we have to receive funds/securities from you on declaration of pay-out of funds or securities by the Stock Exchanges, I/we hereby authorize you to retain the funds and/or securities as the case may be, with you as margin towards my/our ongoing market transactions from time to time in Cash/Capital Market and/or F&O Segments and/or Currency Derivative Segment and/or Commodity Segment
- b) Further, I/we authorize you to deposit any and all the securities kept as collateral by me/us from time to time, with the above exchanges, clearing house, clearing corporation, clearing members towards margin as permitted from time to time.
- c) In case there is a debit balance in my/our account, you are authorized to sell at any point of time the shares/ securities held by me/us or held on my/our behalf, at your sole discretion. Any profit or loss made on such transactions will be to my/our account as it would have occurred on normal purchase/sale made by me/us. I/ We also agree to pay the balance
- d) I/We understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you.
- e) I/We further authorize you to set off/ adjust any of my/our debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my/our account within the period of settlement of my/our account (Securities and Funds) as opted by me/us.
- f) I am/We are aware that the said authorization given to you is on one time basis and is valid till the same is revoked by me/us in writing at any point of time.
- g) I/We desire actual settlement of funds and securities at least once in a ☐90 Days or ☐30 Days (If no check box is selected by me/us, please settle my funds/ Excess Securities on 90 Days basis).
- h) I/We am/are under an obligation to bring any dispute arising from the statement of account or settlement to your notice preferably within 7 working days from the receipt of funds / securities or statement as the case may be.

Yours faithfully,

Client Signature:

Client Name:

Client Code:

Date:
