## Form for Additional KYC, FATCA & CRS Annexure for Individual Accounts (Form 1A)

(Including Sole Proprietor) (Refer to instructions)
(Please consult your professional tax advisor for further guidance on your tax residency)
(Fields marked with \* are mandatory for all and <sup>®</sup> are mandatory for PAN exempt cases)



Updated as on 26.11.2015

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## **GUARDIAN / POA / PROPRIETOR** Name<sup>3</sup> PAN' Occupation\* Service Business Others Gender Male Female Others (Even married women should mention father's name) Father's Name® Folio No. Address of residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes Type of address given at KRA\* Registered Office Residential or Business Residential Business Document Permissible documents are Passport Election ID Card PAN Card Driving License UIDAI Card NREGA Job Card Others No. Date of Birth® Place of Birth\* Nationality/ Country of Birth' Citizenship<sup>®</sup> Are you a tax resident of any country other than India? $\square$ Yes $\square$ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.) Country# Identification Type (TIN or Other, please specify) Tax Identification Number 3 To also include USA, where the individual is a citizen / green card holder of The USA In case Tax Identification Number is not available, kindly provide its functional equivalent \$ First Applicant (Including Minor) Second Applicant/ Guardian **Third Applicant** Guardian/POA/Proprietor **Additional KYC Information** Gross Annual Income (Rs.) - Categories \* Gross annual Income (Rs.) Gross annual Income (Rs.) Gross annual Income (Rs.) Gross annual Income (Rs.) Below 1 Lac, 1 - 5 Lac, 5 Lac - 10 Lac, 10 Lac - 25 Lac, 25 Lac - 1 Cr, 1 Cr - 5 Cr, 5 Cr - 10 Cr, above 10 Cr 0R Rs. Rs. Rs. Rs. as on as on as on as on Net-worth (Mandatory for Non-Individuals) (Rs.) (Not older than 1 year) **Source of Wealth** Occupation - Categories\* Private Sector Service, Public Sector Service, Government Service, Business, Professional, Agriculturist, Retired, Housewife, Student, Forex Dealer & Others In case of business / profession, indicate the details (Including nature of goods/ services dealt in) Politically Exposed Person (PEP) Status\* I am PEP I am PEP I am PEP I am PEP (Also applicable for authorised signatories/Promoters/Karta/ I am a relative / Trustee /Whole time Directors) associate of PEP associate of PEP associate of PEP associate of PEP None of these None of these None of these None of these Any other KYC related information which you wish to provide Note: Politically Exposed Persons (PEP) are defined as Individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state-owned corporations, important political party officials, etc. **DECLARATION** I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax/revenue authorities and other investigation agencies without any obligation of advising me/us of the same. CERTIFICATION I/We have understood the information requirements of this Form (read along with the FATCA, Additional KYC & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same First / Sole Applicant / Guardian / Second Applicant Third Applicant POA Holder **Authorised Signatory**

Place