

# Form for Additional KYC, FATCA & CRS Annexure for Individual Accounts (Form 1A)

(Including Sole Proprietor) (Refer to instructions)

(Please consult your professional tax advisor for further guidance on your tax residency)

(Fields marked with \* are mandatory for all and ® are mandatory for PAN exempt cases)



## FIRST / SOLE APPLICANT

Name\*

Gender ☐ Male ☐ Female ☐ Others  specify PAN\*  Occupation\* ☐ Service ☐ Business ☐ Others

Father's Name®  (Even married women should mention father's name)

Folio No.

Address of residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA\* ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others  specify Document No.

Date of Birth®  Place of Birth\*

Country of Birth\*  Nationality/ Citizenship\*

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)

Country#	Tax Identification Number *	Identification Type (TIN or Other, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

\* To also include USA, where the individual is a citizen / green card holder of The USA

\* In case Tax Identification Number is not available, kindly provide its functional equivalent \$

## SECOND APPLICANT

Name\*

Gender ☐ Male ☐ Female ☐ Others  specify PAN\*  Occupation\* ☐ Service ☐ Business ☐ Others

Father's Name®  (Even married women should mention father's name)

Folio No.

Address of residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA\* ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others  specify Document No.

Date of Birth®  Place of Birth\*

Country of Birth\*  Nationality/ Citizenship\*

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)

Country#	Tax Identification Number *	Identification Type (TIN or Other, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

\* To also include USA, where the individual is a citizen / green card holder of The USA

\* In case Tax Identification Number is not available, kindly provide its functional equivalent \$

## THIRD APPLICANT

Name\*

Gender ☐ Male ☐ Female ☐ Others  specify PAN\*  Occupation\* ☐ Service ☐ Business ☐ Others

Father's Name®  (Even married women should mention father's name)

Folio No.

Address of residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA\* ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NREGA Job Card ☐ Others  specify Document No.

Date of Birth®  Place of Birth\*

Country of Birth\*  Nationality/ Citizenship\*

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)

Country#	Tax Identification Number *	Identification Type (TIN or Other, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

\* To also include USA, where the individual is a citizen / green card holder of The USA

\* In case Tax Identification Number is not available, kindly provide its functional equivalent \$

GUARDIAN / POA / PROPRIETOR

Name\*

Gender

☐ Male

☐ Female

☐ Others

specify

PAN\*

Occupation\*

☐ Service

☐ Business

☐ Others

Father's Name\*

(Even married women should mention father's name)

Folio No.

Address of residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA\*

☐ Residential or Business

☐ Residential

☐ Business

☐ Registered Office

Permissible documents are

☐ Passport

☐ Election ID Card

☐ PAN Card

☐ Govt. ID Card

☐ Driving License

☐ UIDAI Card

☐ NREGA Job Card

☐ Others

specify

Document No.

Date of Birth\*

D

D

M

M

Y

Y

Y

Y

Place of Birth\*

Country of Birth\*

Nationality/ Citizenship\*

Are you a tax resident of any country other than India? ☐ Yes ☐ No (If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.)

Country#	Tax Identification Number %	Identification Type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of The USA %In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Additional KYC Information	First Applicant (Including Minor)	Second Applicant/ Guardian	Third Applicant	Guardian/POA/Proprietor
<b>Gross Annual Income (Rs.) - Categories *</b> Below 1 Lac, 1 - 5 Lac, 5 Lac - 10 Lac, 10 Lac - 25 Lac, 25 Lac - 1 Cr, 1 Cr - 5 Cr, 5 Cr - 10 Cr, above 10 Cr <b>OR</b> <b>Net-worth</b> (Mandatory for Non-Individuals) (Rs.)	Gross annual Income (Rs.) <div>Please write from options given</div>	Gross annual Income (Rs.) <div>Please write from options given</div>	Gross annual Income (Rs.) <div>Please write from options given</div>	Gross annual Income (Rs.) <div>Please write from options given</div>
	Rs. <div></div> as on <div><div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div></div> (Not older than 1 year)	Rs. <div></div> as on <div><div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div></div> (Not older than 1 year)	Rs. <div></div> as on <div><div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div></div> (Not older than 1 year)	Rs. <div></div> as on <div><div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div></div> (Not older than 1 year)
<b>Source of Wealth</b>				
<b>Occupation - Categories*</b> Private Sector Service, Public Sector Service, Government Service, Business, Professional, Agriculturist, Retired, Housewife, Student, Forex Dealer & Others	<div>Please write from options given</div>	<div>Please write from options given</div>	<div>Please write from options given</div>	<div>Please write from options given</div>
<b>In case of business / profession, indicate the details</b> (Including nature of goods/ services dealt in)	<div></div>	<div></div>	<div></div>	<div></div>
<b>Politically Exposed Person (PEP) Status*</b> (Also applicable for authorised signatories/Promoters/Karta/ Trustee /Whole time Directors)	<div><input type="checkbox"/> I am PEP<div><input type="checkbox"/> I am a relative / associate of PEP<div><input type="checkbox"/> None of these</div></div></div>	<div><input type="checkbox"/> I am PEP<div><input type="checkbox"/> I am a relative / associate of PEP<div><input type="checkbox"/> None of these</div></div></div>	<div><input type="checkbox"/> I am PEP<div><input type="checkbox"/> I am a relative / associate of PEP<div><input type="checkbox"/> None of these</div></div></div>	<div><input type="checkbox"/> I am PEP<div><input type="checkbox"/> I am a relative / associate of PEP<div><input type="checkbox"/> None of these</div></div></div>
<b>Any other KYC related information which you wish to provide</b>				

Note : Politically Exposed Persons (PEP) are defined as Individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state-owned corporations, important political party officials, etc.

DECLARATION

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

CERTIFICATION

I/ We have understood the information requirements of this Form (read along with the FATCA, Additional KYC & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same

First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant	Third Applicant	POA Holder

Date

D

D

M

M

Y

Y

Y

Y

Place