

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	NOTLISTED
ISIN	INE296H01011
Name of the company	Emkay Global Financial Services Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.51	18353984		18353984	74.51
(B)	Public	16128	6279046			6279046	25.49	6279046		6279046	25.49
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	16135	24633030			24633030	100	24633030		24633030	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				74.51			4851484	26.43	18353984
(B)	Public				25.49					6275032
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			4851484	19.7	24629016

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.81	13502500		13502500	54.81
(d)	Any Other (specify)	1	4851484			4851484	19.7	4851484		4851484	19.7
Sub-Total (A)(1)		7	18353984			18353984	74.51	18353984		18353984	74.51
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	18353984			18353984	74.51	18353984		18353984	74.51
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	2	117684			117684	0.48	117684		117684	0.48
Sub-Total (B)(1)		2	117684			117684	0.48	117684		117684	0.48
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	15456	3684513			3684513	14.96	3684513		3684513	14.96
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	1456600			1456600	5.91	1456600		1456600	5.91
(e)	Any Other (specify)	653	1020249			1020249	4.14	1020249		1020249	4.14
Sub-Total (B)(3)		16126	6161362			6161362	25.01	6161362		6161362	25.01
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		16128	6279046			6279046	25.49	6279046		6279046	25.49
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		16135	24633030			24633030	100	24633030		24633030	100
Total (A+B+C )		16135	24633030			24633030	100	24633030		24633030	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				54.81			0	0	13502500
(d)				19.7			4851484	100	4851484
Sub-Total (A) (1)				74.51			4851484	26.43	18353984
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				74.51			4851484	26.43	18353984
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(c)				0.48					117684
Sub-Total (B) (1)				0.48					117684
( 3 )	Non-institutions								
(a(i))				14.96					3680499
(a(ii))				5.91					1456600
(e)				4.14					1020249
Sub-Total (B) (3)				25.01					6157348
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25.49					6275032
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					24629016
Total (A+B+C )				100			4851484	19.7	24629016

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Krishna Kumar Karwa	Prakash Kacholia	Preeti Kacholia	Raunak Karwa	Krishna Kumar Karwa (For Krishna Kumar HUF)	Krishna Kumar Karwa (For Murlidhar Karwa HUF)
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.98	19.28	7.63	7.1	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity						

shares held in dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group



Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.81
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.81
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	54.81
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	0
As a % of total Shares held (b)	0
Number of equity shares held in dematerialized form (XIV)	13502500

Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Emkay Corporate Services Private Limited	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.7	19.7
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg: y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.7	19.7
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.7	19.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	4851484	4851484
As a % of total	100	100

Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	Ajay Upadhyaya	Rajiv P Shroff	<a href="#">Click here to go back</a>
PAN (II)	AAAPU0699R	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	250000	613519	863519
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	250000	613519	863519
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.01	2.49	3.51
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	250000	613519	863519
Class eg:y			
Total	250000	613519	863519
Total as a % of Total Voting rights	1.01	2.49	3.51
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.01	2.49	3.51
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	250000	613519	863519
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	IEPF	Non-Resident Indian (NRI)	HUF	Bodies Corporate	Clearing Members	Employees
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						
PAN (II)						
No. of the Shareholders (I)	1	246	277	63	33	33
No. of fully paid up equity shares held (IV)	3105	267519	269810	140736	88851	250228
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3105	267519	269810	140736	88851	250228
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	1.09	1.1	0.57	0.36	1.02
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3105	267519	269810	140736	88851	250228
Class eg:y						
Total	3105	267519	269810	140736	88851	250228
Total as a % of Total Voting rights	0.01	1.09	1.1	0.57	0.36	1.02
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.01	1.09	1.1	0.57	0.36	1.02
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized	3105	267519	269810	140736	88851	250228

form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	653
No. of fully paid up equity shares held (IV)	1020249
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1020249
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1020249
Class eg:y	
Total	1020249
Total as a % of Total Voting rights	4.15
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.15
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized	1020249



form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

significant beneficial owners													
he SBO				Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
AACPK9149E	N.A.	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A.	India		9.85	9.85	9.85	Yes	Yes
Total:									9.85	9.85	9.85		
AADPK7247C	N.A.	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A.	India		9.85	9.85	9.85	Yes	Yes
Total:									9.85	9.85	9.85		

