

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	Not Applicable
ISIN	INE296H01011
Name of the company	Emkay Global Financial Services Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.55	18353984		18353984	74.55
(B)	Public	8629	6265046			6265046	25.45	6265046		6265046	25.45
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8636	24619030			24619030	100	24619030		24619030	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				74.55					18353984
(B)	Public				25.45					6261032
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24615016

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.85	13502500		13502500	54.85
(d)	Any Other (specify)	1	4851484			4851484	19.71	4851484		4851484	19.71
Sub-Total (A)(1)		7	18353984			18353984	74.55	18353984		18353984	74.55
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	18353984			18353984	74.55	18353984		18353984	74.55
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	26557			26557	0.11	26557		26557	0.11
Sub-Total (B)(1)		1	26557			26557	0.11	26557		26557	0.11
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8021	2921895			2921895	11.87	2921895		2921895	11.87
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	1815323			1815323	7.37	1815323		1815323	7.37
(e)	Any Other (specify)	590	1501271			1501271	6.1	1501271		1501271	6.1
Sub-Total (B)(3)		8628	6238489			6238489	25.34	6238489		6238489	25.34
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8629	6265046			6265046	25.45	6265046		6265046	25.45
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		8636	24619030			24619030	100	24619030		24619030	100
Total (A+B+C )		8636	24619030			24619030	100	24619030		24619030	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				54.85					13502500
(d)				19.71					4851484
Sub-Total (A) (1)				74.55					18353984
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				74.55					18353984
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				0.11					26557
Sub-Total (B) (1)				0.11					26557
( 3 )	Non-institutions								
(a(i))				11.87					2917881
(a(ii))				7.37					1815323
(e)				6.1					1501271
Sub-Total (B) (3)				25.34					6234475
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25.45					6261032
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					24615016
Total (A+B+C )				100					24615016

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Krishna Kumar Karwa	Prakash Kacholia	Preeti Kacholia	Raunak Karwa	Krishna Kumar Karwa (for Krishna Kumar Karwa HUF)	Krishna Kumar Karwa (for Murlidhar Karwa HUF)
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.99	19.29	7.64	7.11	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.99	19.29	7.64	7.11	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	19.99	19.29	7.64	7.11	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in						

dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group



Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.85
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.85
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	54.85
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	13502500

Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Emkay Corporate Services Private Limited	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.71	19.71
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg: y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.71	19.71
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.71	19.71
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total		

Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	Ganesh Srinivasan	Porinju V Veliyath	Rajiv P Shroff	<a href="#">Click here to go back</a>
PAN (II)	AAGPS5047M	AADPP6420G	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	250769	343500	628992	1223261
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	250769	343500	628992	1223261
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.4	2.55	4.97
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	250769	343500	628992	1223261
Class eg:y				
Total	250769	343500	628992	1223261
Total as a % of Total Voting rights	1.02	1.4	2.55	4.97
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.02	1.4	2.55	4.97
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	250769	343500	628992	1223261
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	IEPF	HUF	Non-Resident Indian (NRI)	Employees	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						
PAN (II)						
No. of the Shareholders (I)	1	226	218	33	49	63
No. of fully paid up equity shares held (IV)	3105	467339	453028	272278	160908	144613
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3105	467339	453028	272278	160908	144613
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	1.9	1.84	1.11	0.65	0.59
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3105	467339	453028	272278	160908	144613
Class eg: y						
Total	3105	467339	453028	272278	160908	144613
Total as a % of Total Voting rights	0.01	1.9	1.84	1.11	0.65	0.59
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.01	1.9	1.84	1.11	0.65	0.59
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized	3105	467339	453028	272278	160908	144613

form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	590
No. of fully paid up equity shares held (IV)	1501271
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1501271
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.1
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1501271
Class eg:y	
Total	1501271
Total as a % of Total Voting rights	6.1
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	6.1
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized	1501271



form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	

significant beneficial owners													
he SBO				Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
AACPK9149E	N.A	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A	India		9.86	9.86	9.86	Yes	Yes
Total:									9.86	9.86	9.86		
AADPK7247C	N.A	India		Emkay Corporate Services Pvt. Ltd.	AAACE0995M	N.A	India		9.86	9.86	9.86	Yes	Yes
Total:									9.86	9.86	9.86		

