

(I)(a)

SHAREHOLDING PATTERN UNDER CLAUSE 35**Introductory sub-table (1)(a)**

Name of the Company :Emkay Global Financial Services Ltd			
Scrip Code, Name of the Scrip, class of security : 532737, EMKAY, Equity			
Quarter ended: 30.06.2015			
Partly paid-up shares :-	No. of partly paid up shares	As a % of total no. of partly	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Outstanding convertible securities :-	No. of outstanding	As a % of total no. of	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Warrants:-	No. of warrants	As % of total no. of warrants	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Total paid-up capital of the company, assuming full conversion	2,44,37,750		

Name of the Company:		EMKAY GLOBAL FINANCIAL SERVICES LIMITED						
	Scrip Code: 532737		Quarter ended:		30.06.2015			
Table (1)(a)								
Cate-gory code (I)	Category of shareholder(I I)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C)(VII)	Number of shares (VIII)	As a percentage(IX) = (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided	6	1,37,47,500	1,37,47,500	56.26	56.26	-	-
(b)	Central Government/				-	-	-	-
(c)	Bodies Corporate	1	41,50,000	41,50,000	16.98	16.98	-	-
(d)	Financial Institutions/				-	-	-	-
(e)	Any Other (specify)				-	-	-	-
	Sub-Total (A)(1)	7	1,78,97,500	1,78,97,500	73.24	73.24	-	-
-2	Foreign				-	-	-	-
(a)	Individuals (Non-Resident	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-

	Total Shareholding	7	1,78,97,500	1,78,97,500	73.24	73.24	-	-
(B)	Public shareholding				-	-	-	-
-1	Institutions				-	-	-	-
(a)	Mutual Funds/ UTI	1	3,14,530	3,14,530	1.29	1.29	-	-
(b)	Financial Institutions/	-	-	-	-	-	-	-
(c)	Central Government/	-	-	-	-	-	-	-
(d)	Venture Capital Fund	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	FIIIs	-	-	-	-	-	-	-
(g)	Foreign Venture	-	-	-	-	-	-	-
(h)	Qualified Foreign	-	-	-	-	-	-	-
(i)	Any Other (specify)				-	-	-	-
	Sub-Total (B)(1)	1	3,14,530	3,14,530	1.29	1.29	-	-
-2	Non-institutions				-	-	-	-
(a)	Bodies Corporate	114	5,61,673	5,51,673	2.30	2.30	-	-
(b)	Individuals				-	-	-	-
	i. Individual shareholders	4832	1401526	1384246	5.74	5.74	-	-
	ii. Individual shareholders	47	3408748	3408748	13.95	13.95	-	-
(c)	Qualified Foreign							
(d)	Any Other (Specify)				-	-	-	-
	2.Clearing Member	24	11,051	11,051	0.05	0.05	-	-

	3. Trust	1	7,54,648	7,54,648	3.09	3.09	-	-
	4. NRIs	28	25,565	25,565	0.10	0.10	-	-
	5. NRN	16	62,509	62,509	0.26	0.26	-	-
	6. Foreign National	-	-	-	-	-	-	-
	Sub-Total (B)(2)	5,062	62,25,720	61,98,440	25.48	25.48	-	-
	Total Public Shareholding	5,063	65,40,250	65,12,970	26.77	26.77	-	-
	TOTAL (A)+(B)	5,070	2,44,37,750	2,44,10,470	100.00	100.00	-	-
(C)	Shares held by Custodians	-	-	-	-	-	-	-
(1)	Promoter and Promoter	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	GRAND TOTAL	5,070	2,44,37,750	2,44,10,470	100.00	100.00	-	-

(I) (b)	Statement showing Shareholding of persons belonging to the category										
	“Promoter and Promoter Group”										
		Details of Shares Held		Encumbered Shares			Details of Warrants		Details of convertible securities		(including underlying shares)
Sr. No.(I)	Name of the shareholder	Number of shares	As a % of grand total (A)+(B)+ (C)	Number of shares	As a Percentage	As a % of Grand Total (A) + (B)+ (C)	Number of Warrants held	As a % total number of	Number of convertible securities	As a % total number of	
1	Krishna Kumar Karwa	49,22,500	20.14	-	-	-	-	--	--	--	--
2	Prakash Kacholia	47,50,000	19.44	-	-	-	-	--	--	--	--

3	Emkay Corporate	41,50,000	16.98	-	-	-	-	--	--	--	--
4	Preeti Kacholia	21,25,000	8.70	-	-	-	-	--	--	--	--
5	Raunak Karwa	17,50,000	7.16	-	-	-	-	--	--	--	--
6	Krishna Kumar Karwa	1,00,000	0.41	-	-	-	-	--	--	--	--
7	Murlidhar Karwa (HUF)	1,00,000	0.41	-	-	-	-	--	--	--	--
TOTAL		1,78,97,500	73.24	-	0.00	-	0	--	--	--	--

(I) (C) (i)		<u>Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares</u>		Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full)
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Number of Warrants held	As a % total number of	Number of convertible securities	% w.r.t. total number of	
1	Rajiv P Shroff	6,02,379	2.47	-	--	--	--	--
2	Neeraj Batra	7,29,846	2.99	-	--	--	--	--
3	Emkay Employees	7,54,648	3.09	-	--	--	--	--
4	HDFC Trustee Company	3,14,530	1.29	-	--	--	--	--
TOTAL		24,01,403	9.83	-	--	--	--	--

(I) (c) (ii) **Statement Showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.**

			Total Shares (including underlying shares)
	Details of Warrants	Details of convertible securities	

Sr. No.	Name(s) of the shareholder(s) and the	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Number of Warrants	As a % total number of warrants of the	Number of convertible securities held	% w.r.t. total number of convertible	assuming full conversion of
1		-	-	-	--	--	--	--
2		--	--	-	--	--	--	--
TOTAL		-	-	-	--	--	--	--

(I)(d) <u>Statement showing details of locked-in shares</u>			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N I L		
TOTAL		-	0.00

(II)(a) <u>Statement showing details of Depository Receipts (DRs)</u>				
Sr. No.	Type of outstanding	No. of O/s DRs	Number of shares underlying O/s DRs	Shares underlying outstanding DRs as a percentage of total
1	NIL			
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "promoter & promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder Type of outstanding DR (ADRs, GDRs,	Number of shares	Shares underlying outstanding DRs as a percentage of total number of
1	NIL		
2			
TOTAL			

(I)(a)

SHAREHOLDING PATTERN UNDER CLAUSE 35

Introductory sub-table (1)(a)

Name of the Company :Emkay Global Financial Services Ltd			
Scrip Code, Name of the Scrip, class of security : 532737, EMKAY, Equity			
Quarter ended: 30.09.2015			
Partly paid-up shares :-	No. of partly paid up shares	As a % of total no. of partly	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Outstanding convertible securities :-	No. of outstanding	As a % of total no. of	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Warrants:-	No. of warrants	As % of total no. of warrants	As a % of total no. of shares of the
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Total paid-up capital of the	2,44,37,750		

Name of the Company:		EMKAY GLOBAL FINANCIAL SERVICES LIMITED							
	Scrip Code: 532737		Quarter ended:		30.09.2015				
Table (1)(a)									
Cate-gory code (I)	Category of shareholder(I I)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered		
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C)(VII)	Number of shares (VIII)	As a percentage (IX) = (VIII/IV)*100	
(A)	Shareholding of Promoter and Promoter Group								
-1	Indian								
(a)	Individuals/ Hindu Undivided	6	1,37,47,500	1,37,47,500	56.26	56.26	-		-
(b)	Central Government/				-	-	-		-
(c)	Bodies Corporate	1	41,50,000	41,50,000	16.98	16.98	-		-
(d)	Financial Institutions/				-	-	-		-
(e)	Any Other (specify)				-	-	-		-
	Sub-Total (A)(I)	7	1,78,97,500	1,78,97,500	73.24	73.24	-		-
-2	Foreign				-	-	-		-
(a)	Individuals (Non-Resident	-	-	-	-				-
(b)	Bodies Corporate	-	-	-	-	-	-		-
(c)	Institutions	-	-	-	-	-	-		-

(d)	Qualified Foreign	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding	7	1,78,97,500	1,78,97,500	73.24	73.24	-	-
(B)	Public shareholding				-	-	-	-
-1	Institutions				-	-	-	-
(a)	Mutual Funds/ UTI	1	3,14,530	3,14,530	1.29	1.29	-	-
(b)	Financial Institutions/	-	-	-	-	-	-	-
(c)	Central Government/	-	-	-	-	-	-	-
(d)	Venture Capital Fund	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	FII's	-	-	-	-	-	-	-
(g)	Foreign Venture	-	-	-	-	-	-	-
(h)	Qualified Foreign	-	-	-	-	-	-	-
(i)	Any Other (specify)				-	-	-	-
	Sub-Total (B)(1)	1	3,14,530	3,14,530	1.29	1.29	-	-
-2	Non-institutions				-	-	-	-
(a)	Bodies Corporate	107	4,77,071	4,67,071	1.95	1.95	-	-
(b)	Individuals				-	-	-	-
	i. Individual shareholders	4506	1139001	1131786	4.66	4.66	-	-
	ii. Individual shareholders	48	3354523	3354523	13.73	13.73	-	-

(c)	Qualified Foreign							
(d)	Any Other (Specify)				-	-	-	-
	2. Clearing Member	22	16,684	16,684	0.07	0.07	-	-
	3. Trust	1	7,54,648	7,54,648	3.09	3.09	-	-
	4. NRIs	26	20,373	20,373	0.08	0.08	-	-
	5. NRN	16	66,449	66,449	0.27	0.27	-	-
	6. Hindu Undivided	230	2,40,085	2,40,085	0.98	0.98	-	
	7. Office Bearer	20	1,56,886	1,56,886	0.64	0.64	-	
	8. Foreign National	-	-	-	-	-	-	-
	Sub-Total (B)(2)	4,976	62,25,720	62,08,505	25.48	25.48	-	-
	Total Public Shareholding	4,977	65,40,250	65,23,035	26.77	26.77	-	-
	TOTAL	4,984	2,44,37,750	2,44,20,535	100.00	100.00	-	-
(C)	Shares held	-	-	-	-	-	-	-
(1)	Promoter and	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	GRAND	4,984	2,44,37,750	2,44,20,535	100.00	100.00	-	-

(I) (b)	<u>Statement showing Shareholding of persons belonging to the category</u>	
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“Promoter and Promoter Group”											
		Details of Shares Held		Encumbered Shares			Details of Warrants		Details of convertible securities		(including underlying shares)
Sr. No.(I)	Name of the shareholder	Number of shares	As a % of grand total (A)+(B)+ (C)	Number of shares	As a Percentage	As a % of Grand Total (A) + (B)+ (Number of Warrants held	As a % total number of	Number of convertible	As a % total number of	
1	Krishna Kumar Karwa	49,22,500	20.14	-	-	-	-	--	--	--	--
2	Prakash Kacholia	47,50,000	19.44	-	-	-	-	--	--	--	--
3	Emkay Corporate	41,50,000	16.98	-	-	-	-	--	--	--	--
4	Preeti Kacholia	21,25,000	8.70	-	-	-	-	--	--	--	--
5	Raunak Karwa	17,50,000	7.16	-	-	-	-	--	--	--	--
6	Krishna Kumar Karwa	1,00,000	0.41	-	-	-	-	--	--	--	--
7	Murlidhar Karwa (HUF)	1,00,000	0.41	-	-	-	-	--	--	--	--
TOTAL		1,78,97,500	73.24	-	0.00	-	0	--	--	--	--

(I)(C) (i)	<u>Statement showing Shareholding of persons belonging to the category</u>							Total Shares (including underlying shares assuming full)
	<u>“Public” and holding more than 1% of the total number of shares</u>			Details of Warrants		Details of convertible securities		
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)	Number of Warrants held	As a % total number of	Number of convertible	% w.r.t.total number of	
1	Rajiv P Shroff	6,02,379	2.47	-	--	--	--	--
2	Neeraj Batra	7,50,346	3.07	-	--	--	--	--
3	Emkay Employees	7,54,648	3.09	-	--	--	--	--
4	HDFC Trustee Company	3,14,530	1.29	-	--	--	--	--

TOTAL	24,21,903	9.91	-	--	--	--	--
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(I(c) (ii) Statement Showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belong

to the category "Public" and holding more than 5% of the total number of shares of the Company.

				Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full)
Sr. No.	Name(s) of the	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I(a) above)	Number of Warrants	As a % total number of	Number of convertible	% w.r.t. total number of	
1		-	-	-	--	--	--	--
2		--	--	-	--	--	--	--
TOTAL		-	-	-	--	--	--	--

(I)(d)	Statement showing details of locked-in shares		
Sr. No.	Name of the sh	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I(a) above)
	N I L		
TOTAL		-	0.00

(II)(a)	Statement showing details of Depository Receipts (DRs)			
Sr. No.	Type of outstanding	No. of O/s DRs	Number of shares underlying O/s DRs	Shares underlying outstanding DRs as a percentage of total
1	NIL			

TOTAL			
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(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held

by "promoter & promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL		