

EMKAY GLOBAL FINANCIAL SERVICES LIMITED
Format of Holding of Specified Securities

For the Quarter ended 30th September 2018

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 30th September, 2018
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

- 5 The Tabular Format for disclosure of holding of specified securities is as follows:-





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th September, 2018

Table I --Summary Statement Holding of Specified Securities--EQUITY SHARES

Category	Category of Shareholder	Nos of Shareholders	No of fully paid up equity shares held	No of partly paid up equity shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholding as a % of total no of shares (calculated as per SCRR,1957 as a % of (A+B+C2))	Number of voting rights held in each class of securities -Equity Shares				No to shares underlying outstanding convertible securities (including)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								IX	X	XI	XII			XIII	XIV			
																Class SEG X	Class Seg Y	
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights			Total as a % of (A+B+C)	X	XI	No	As a % of total shares held	No	As a % of total shares	XIV
A	Promoter & Promoter Group	7	17652500	0	0	17652500	71.7027	17652500	0	17652500	71.7027	0		0	0	0	0	17652500
B	Public	9923	6840763	0	0	6840763	27.7865	6840763		6840763	27.7865	0		0	0	NA	NA	6826249
C	Non Promoter-Non Public	1	125767	0	0	125767	0.5109	125767	0	125767	0.5109	0		0	0	NA	N.A.	125767
C1	Shares Underlying DRs	0	0	0	0	0	NA	0	0	0	0	0		0	0	NA	NA	0
C2	Share held by Employee Trusts	1	125767	0	0	125767	0.5109	125767	0	125767	0.5109	0		0	0	NA	NA	125767
		9931	24619030	0	0	24619030	100.00	24619030	0	24619030	100.00	0	0.0000	0	0	0	0	24604516





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For the Quarter ended 30th September, 2018

Table-II: Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of Shares older	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit or receipts	Total nos. shares held	Shareholding % calculates as per SCRR, 1957 as a % of (A+B+C)	Number of voting rights held in each class of securities - Equity Shares			No to shares held under as a % of outstanding securities (including as a % of outstanding securities)		Number of shares locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								Total as a % of (A+B+C)	IX	X	XI	No As a % of total shares	No As a % of total shares held	XIV		
	I	II	III	IV	V	VI	VII	VIII	No of Voting Rights class X class Y Total							
1	Indian															
a	Individuals/ Hindu Undivided Family		6	13502500												
	Krishna Kumar Karwa	AACP9149E	1	4922500			13502500	54.8458	13502500	4922500	19.9947	0	0	0	0	13502500
	Prakash Kacholia	AADPK7247C	1	4750000			4922500	19.2940	4750000	4750000	19.2940	0	0	0	0	4750000
	Preeti Kacholia	AADPK7245A	1	1880000			1880000	7.6364	1880000	1880000	7.6364	0	0	0	0	1880000
	Raunak Karwa	ACVPK0232B	1	1750000			1750000	7.1083	1750000	1750000	7.1083	0	0	0	0	1750000
	Krishna Kumar Karwa (HUF)	AACHK2851D	1	100000			100000	0.4062	100000	100000	0.4062	0	0	0	0	100000
	Muridhar Karwa (HUF)	AABHM6186L	1	100000			100000	0.4062	100000	100000	0.4062	0	0	0	0	100000
b	Central Gov/State Gov							0.0000								
c	Financial Institution/Banks		0	0			0	0.0000	0			0	0	0	0	0
d	Any Other		1	4150000			4150000	16.8569	4150000	4150000	16.8569					4150000
	Body Corporate															
	Emkay Corporate Services P. Ltd	AAACE0995M		4150000			4150000	16.8569	4150000	4150000	16.8569	0	0	0	0	4150000
	sub total (A-I)		7	17652500	0	0	17652500	71.7027	17652500	17652500	71.7027	0	0	0	0	17652500
2	Foreign		0	0	0	0	0	0.0000	0			0	0	0	0	0

Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dader (West), Mumbai - 400 028. Tel : +91 22 6612 1299 Fax: +91 22 6629 9299
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CIN - L67120MH1995PLC004599

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*	Any Others	858	2065136	0	0	2065136	8.3884	2065136		2065136	8.3884	0			0	0	N.A.	N.A.	2055136
	Hindu Undivided Family	250	281348	0	0	281348	1.1428	281348		281348	1.1428				0	0	N.A.	N.A.	281348
	Non Res. Ind.	89	205464	0	0	205464	0.8346	205464		205464	0.8346	0			0	0	N.A.	N.A.	205464
	Non Regat.																N.A.	N.A.	
	Non Res. Ind. Regat.	220	481918	0	0	481918	1.9575	481918		481918	1.9575	0			0	0	N.A.	N.A.	481918
	Office Bearers	23	273810	0	0	273810	1.1122	273810		273810	1.1122	0			0	0	N.A.	N.A.	273810
	clearing member	95	82419	0	0	82419	0.3348	82419		82419	0.3348	0			0	0	N.A.	N.A.	82419
	other bodies corporate	180	721018	0	0	721018	2.9287	721018		721018	2.9287	0			0	0	N.A.	N.A.	711018
	Trust	1	19159	0	0	19159	0.0778	19159		19159	0.0778								19159
	sub total (b) (3)	9920	6825024	0	0	6825024	27.8186	6824774		6824774	27.8186	0		0	0	0	N.A.	N.A.	6810510
	Total Public Shareholding B-(9)(1) + (b) (2) + (b) (3)	9923	6840763	0	0	6840763	27.8825	6840763		6840513	27.8825	0			0	0	N.A.	N.A.	6826249
																	N.A.	N.A.	





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FOR THE QUARTER ENDED ON 30TH SEPTEMBER, 2018

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	part V Paid up Equity Shares held	No. of shares underlying depositary receipts	Total nos. shares held	Shareholding % calculates as per SCRR, 1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities - Equity Shares IX	No to underlying securities	Total Shareholding as % assuming full conversion of convertible securities	Number of locked in shares XII	Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form		
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights class X class V Total Total as a % of (A+B+C)	X	XI	No	As a % of total shares held	No	As a % of total shares held	XIV
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
2 Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		1	125767			125767	0.5109	125767	125767	0.5109					
Emkay Employees Welfare Trust	AAATE3866G	1	125767	0	0	125767	0.5109	125767	125767	0.5109	0	0	N.A.	N.A.	125767
Total Non Promoter-Non Public Shareholding (C) = (C) (1) + (C) (2)		1	125767	0	0	125767	0.5109	125767	125767	0.5109	0	0	N.A.	N.A.	125767

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